

PTO/SB/08A (08-03)

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Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	10/817,212
		Filing Date	April 2, 2004
		First Named Inventor	Steven R. Kleiman
		Art Unit	2187
		Examiner Name	Nguyen, Than Vinh
		Attorney Docket Number	112056-0126D1
(use as many sheets as necessary)			
Sheet	1	of	8

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	3876978	04-1975	Bossen et al.	
	2	4092732	05-1978	Ouchi	
	3	4201976	05-1980	Patel	
	4	4205324	05-1980	Patel	
	5	4375100	02-1983	Tsuji et al.	
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	7	4517663	05-1985	Imazeki et al.	
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	14	4796260	01-1989	Schilling et al.	
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	27	5077736	12-1991	Dunphy, Jr. et al.	
	28	5088081	02-1992	Farr	

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	29	5101492		03-1992	Schultz et al.	
	30	5128810		07-1992	Halford	
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	58	5860091		01-1999	DeKoning et al.	
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	60	5884098		03-1999	Mason, Jr.	
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Examiner Initials ¹	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)					
	81	JP	2001-154,811	06-08-2001	Hirofujii		
	82	JP	2001-188,658	06-10-2001	Motosawa		
	83	WO	94/29796	12-22-1994	Hitz et al.		
	84	WO	94/29795	12-22-1994	Hitz et al.		

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				Examiner Name	Nguyen, Than Vinh
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NON PATENT LITERATURE DOCUMENTS				
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	85	"Complaint for Patent Infringement and Demand for Jury Trial", filed September 5, 2007 in United States District Court for the Eastern District of Texas, Lufkin Division. Civil Action No. 9:07CV206, 18 pages.		
	86	"Defendant Sun Microsystems, Inc.'s Answer and Counterclaim to Plaintiff Network Appliance, Inc.'s Complaint for Patent Infringement", filed October 25, 2007 in United States District Court for the Eastern District of Texas, Lufkin Division. Civil Action No. 9:07CV206, 64 pages.		
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	96	CHEN PENG et al. "The Design of High Performance Control System in RAID" Computing in High Energy Physics Aug. 31, 1998, pp. 1-8, Chicago, IL.		
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	98	ANWIN, PETER H. "The Mathematics of RAID 6" Dec. 2004.		

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	99	Auspex 4Front NS2000, System Architecture, Network-Attached Storage for a New Millennium, Auspex Engineering Technical Report 24 Jan. 1999.	
	100	BESTAVROS, AZER et al. "Reliability and Performance of Parallel Disks", Technical Memorandum 45312-891206-01TM, AT&T, Bell Laboratories, Department 45312, Holmdel NJ, Dec. 1989.	
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	113	GIBSON, GARTH A. et al. "Strategic Directions in Storage I/O Issues in Large-Scale Computing", ACM Computer Survey, 28(4):779-93, Dec. 1996.	
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	126	LAWLOR, F.D., "Efficient Mass Storage Parity Recovery Mechanism", IBM Technical Disclosure Bulletin 24(2):986-987, July 1981.	

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Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²	
	127	LEE, EDWARD K. et al., "RAID-II: A Scalable Storage Architecture for High-Bandwidth Network File Service", Technical Report UCB/CSD 92/672, Feb. 1992.		
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	134	PATEL, ARVIND M., "Adaptive Cross-Parity (AXP) Code for a High-Density Magnetic Tape Subsystem", IBM Technical Disclosure Bulletin 29(6):546-562, Nov. 1985.		
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	140	SCHULZE, MARTIN E. "Considerations in the Design of a RAID Prototype", Computer Science Divisions, Department of Electrical Engineering and Computer Sciences, University of California at Berkeley, August 25, 1988.		

Examiner Signature	/Than Nguyen/	Date Considered	11/13/2008
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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/817,212
				Filing Date	April 2, 2004
				First Named Inventor	Steven R. Kleiman
				Group Art Unit	2187
				Examiner Name	Nguyen, Than Vinh
				Attorney Docket Number	112056-0126D1
Sheet	8	of	8		

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	141	SCHULZE, MARTIN et al., "How Reliable is a RAID?", Proceedings of COMPCON, 1989, pp. 118-123.	
	142	SHIRRIFF, KENNETH W., "Sawmill: A Logging File System for a High-Performance RAID Disk Array, CSD-95-862, Jan. 1995.	
	143	STONEBRAKER, MICHAEL et al., "The Design of XPRS", Proceedings of the 14 th VLDB Conference, Los Angeles, California (1988).	
	144	TANABE, TAKAYA et al. "Redundant Optical Storage System Using DVD-RAM Library", IEEE Symposium on Mass Storage pp. 80-87, March 1999.	
	145	TWETEN, DAVID. "Hiding Mass Storage Under UNIX: NASA's MSS-H Architecture", IEEE Symposium on Mass Storage, pp. 140-145, May 1990.	
	146	GUINGDALE, A., European Search Report for Application No. 03251802.9, June 30, 2006, 3 pages.	
	147	"Sun Microsystems Inc.'s Opening Claim Construction Brief", filed on July 7, 2008, in United States District Court for the Northern District of California, San Francisco Division. Case No. 3:07-CV-06053-EDL, 43 pages.	
	148	"Plaintiff NetApp Inc.'s Response to Sun's Opening Claim Construction Brief", filed on July 21, 2008, in United States District Court for the Northern District of California, San Francisco Division. Case No. 3:07-CV-06053-EDL, 47 pages.	
	149	"Sun Microsystems Inc.'s Reply Claim Construction Brief", filed on August 1, 2008, in United States District Court for the Northern District of California, San Francisco Division. Case No. 3:07-CV-06053-EDL, 41 pages.	

Examiner Signature	/Than Nguyen/	Date Considered	11/13/2008
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